State of Arizona Senate Forty-fifth Legislature First Regular Session 2001

CHAPTER 83

## **SENATE BILL 1066**

## AN ACT

AMENDING SECTION 36-446.07, ARIZONA REVISED STATUTES; RELATING TO THE BOARD OF EXAMINERS OF NURSING CARE INSTITUTION ADMINISTRATORS AND ASSISTED LIVING FACILITY MANAGERS.

(TEXT OF BILL BEGINS ON NEXT PAGE)

- j -

Be it enacted by the Legislature of the State of Arizona:

Section 1. Section 36-446.07, Arizona Revised Statutes, is amended to read:

36-446.07. <u>Disciplinary actions: grounds for disciplinary action: renewal: continuing education: inactive status: hearings: settlement: judicial review</u>

- A. The board may suspend or revoke the license of any nursing care institution administrator, censure or place on probation any licensed nursing care institution administrator or deny a license as a nursing care institution administrator to any person for any of the following reasons:
- 1. Conviction of a felony or conviction of any misdemeanor involving moral turpitude.
  - 2. Obtaining or renewing a license by fraud or deceit.
  - 3. Unprofessional conduct.
  - 4. Practicing without biennial licensure.
  - 5. Addiction to or dependency upon ON drugs or alcohol.
- 6. Wrongful transfer of a license or falsely impersonating another licensee.
- 7. Unauthorized disclosure of information relating to a patient or a patient's records.
- 8. Payment to any person for solicitation or procurement, either directly or indirectly, of nursing home patronage.
- 9. Violation of this article or a rule adopted pursuant to this article.
- B. The board may suspend or revoke the certificate of an assisted living facility manager, censure or place on probation an assisted living facility manager or deny a certificate as an assisted living facility manager to a person for any of the following reasons:
- 1. Conviction of a felony or conviction of a misdemeanor involving moral turpitude.
  - 2. Obtaining or renewing a certificate by fraud or deceit.
  - 3. Unprofessional conduct.
  - 4. Practicing without biennial certification.
  - 5. Addiction to or dependency on drugs or alcohol.
- 6. Wrongful transfer of a certificate or falsely impersonating another certificate holder.
- 7. Unauthorized disclosure of information relating to a resident or a resident's records.
- 8. Violation of this article or a rule adopted pursuant to this article.
- C. The board may impose a civil penalty in an amount of not to exceed five hundred dollars on any nursing care institution administrator or assisted living facility manager who violates this article or any rule adopted pursuant to this article. Actions to enforce the collection of these penalties shall be brought in the name of this state by the attorney general

- 1 -

or the county attorney in the justice court or the superior court in the county in which the violation occurred. Penalties imposed under this section are in addition to and not in limitation of other penalties imposed pursuant to this article.

- D. The board may file a letter of concern if, in the opinion of the board, while there is insufficient evidence to support direct action against the license of the administrator or the certificate of the manager, there is sufficient evidence for the board to notify the administrator or manager of its concern.
- E. Every holder of a nursing care institution administrator's license shall renew it biennially by making application to the board. The renewals shall be granted as a matter of course if the holder has successfully completed at least fifty hours of continuing education every two years as established by the board in its rules, unless the applicant has acted or failed to act in such a manner or under such circumstances as would constitute grounds for taking any of the disciplinary actions permitted by this section. The board shall maintain a log of each complaint substantiated by the board or deficiency report concerning an administrator and shall retain in the administrator's file a copy of each such complaint or report and the action taken on it, if any. The board shall review and consider the administrator's file in determining whether to renew the administrator's license.
- F. Every holder of an assisted living facility manager's certificate shall renew it biennially by making application to the board. The renewals shall be granted as a matter of course if the holder has successfully completed continuing education every two years as established by the department BOARD in its rules, unless the applicant has acted or failed to act in a manner or under circumstances that constitute grounds for taking disciplinary action permitted by this section. The board shall maintain a log of each complaint substantiated by the board or deficiency report concerning a manager and shall retain in the manager's file a copy of each complaint or report and the action taken on it, if any. The board shall review and consider the manager's file in determining whether to renew the manager's certificate.
- G. Failure on the part of any licensed nursing care institution administrator or certified assisted living facility manager to furnish evidence of having attended the required continuing education hours during the preceding two years shall preclude renewal of the license or certificate unless the continuing education requirement is fulfilled within one hundred twenty days.
- H. Upon ON written request to the board, a nursing care institution administrator in good standing may cause the administrator's name and license to be transferred to an inactive list. Any nursing care institution administrator on inactive license status shall pay a license renewal fee. Upon ON written request to the board, and subsequent approval by the board,

- 2 -

1 2

 a nursing care institution administrator on inactive license status may resume active license status upon ON meeting twenty-five hours of continuing education requirements within six months and payment of the current fee.

- I. On written request to the board, the board shall transfer an assisted living facility manager in good standing to an inactive list. An assisted living facility manager on inactive certificate status shall pay a certificate renewal fee prescribed by the board of not more than one hundred dollars every two years. On written request to the board, and subsequent approval by the board, an assisted living facility manager on inactive certificate status may resume active certificate status on meeting requirements for six hours of continuing education within six months and payment of the current fee.
- J. Suspension, revocation or denial of renewal of a license or certificate or censure or probation of a licensee or certificate holder by the board shall become BECOMES effective only upon ON the board's first giving the licensee or certificate holder prior written notice and affording the licensee or certificate holder the right to request a hearing within twenty days of the receipt of notice. A hearing is not required before the denial of an original application for a license or a certificate. All hearings shall be conducted pursuant to title 41, chapter 6, article 10.
- K. Any person wishing to make a complaint against a licensee or certificate holder under this article shall file a written complaint with the board within one year from the date of the action causing the complaint. If the board determines that the charges made in the complaint are sufficient, if true, to warrant suspension or revocation of a license or certificate issued under this article or censure or probation of a licensee or certificate holder under this article, it shall issue an order fixing the time and place for a hearing and requiring the licensee or certificate holder complained against to appear and answer the complaint. The order shall have affixed to it a copy of the complaint and both shall be served upon ON the licensee or certificate holder either personally or by certified mail sent to the licensee's or the certificate holder's last known address at least twenty days before the date set for the hearing. All hearings shall be conducted pursuant to title 41, chapter 6, article 10.
- L. The board and an administrator or manager may enter into a settlement of any matter under investigation either before or after a notice of the hearing has been issued if the board determines that the proposed settlement adequately protects the public safety, health and welfare. The board shall record the terms of each settlement entered into and shall make the record available for public inspection.
- M. Except as provided in section 41-1092.08, subsection H, final decisions of the board are subject to judicial review pursuant to title 12, chapter 7, article 6.

Passed the House (Liprit 03, 200)	Passed the Senate (10 to 10 to
by the following vote:Ayes,	by the following vote: Ayes,
O Nays, O Not Voting	Nays, Not Voting
Speaker of the House	President of the Senate
More L. Move Chef Clerk of the House	Chouning Billings Secretary of the Senate
	TMENT OF ARIZONA GOVERNOR by the Governor this  20 0/,
	o'clock M.  A contract of the Governor
Approved this day of	
at 11.56 o'clock AM.	
Jane Yhu Jull Governor of Arizona	
	EXECUTIVE DEPARTMENT OF ARIZONA OFFICE OF SECRETARY OF STATE
	This Bill was received by the Secretary of State
S.R. 1066	this day of April, 2001,

Secretary of State